TARGE

Visitor Vetting System Powered by **MAX**

Enterprise Sanction Investigator

Protects businesses from sanction violations and simplify due diligence when automated with **MAX ESI** as part of your active **Sanction Compliance Program.**

The Enterprise Sanctions Investigator (ESI) is a component part of the Watchlist Investigator which in turn is part of the overall MAX Security Portal family of products. This Third-Party Screening Module is a utility used to screen vendors, subcontractors, and employees to ensure that none of them are listed on the latest sanctions lists, FBI Wanted lists, or Criminal History lists.

Vetting with the MAX ESI facilitates a business's compliance with internal security policies as well as requirements placed on that business by laws and regulations of external governing bodies. ESI utilizes multiple tools to facilitate this compliance. Primarily this is accomplished by creating a single system of record to store data related to all parties being vetted and approved for access. MAX ESI will automate the required vetting process. Included in the automated processes are OFAC screenings.

When a request is submitted a person's data is compared against the aggregated sanctions list. TARGE has developed a proprietary matching algorithm to return the most accurate results even when the data is not an exact match. If there is a potential match the record is flagged and reports indicating the matching information are made available for review.

All data in the ESI database, in-transit between the client workstation, and the ESI application are encrypted to a level suitable for DoD Top Secret data. The cloud server used for ESI resides in the same data center in Virginia used by the US Federal Government.

No software needs to be installed on any local workstation. The application is usable as soon as the accounts have been established.

Due Diligence
With MAX Enterprise Sanction Investigator

Each search will result in two reports which are expected to be part of a Sanctions Compliance Program. These reports may be printed or stored in PDF format for later reference as may be required.

Search Steps Report - This report lists all the steps that MAX ESI took when checking a person or a business. It lists all the data sources used, the search types, and the results achieved.

Search Details Report - This report will list all the records that were found that are related to the name that was searched. The records returned will depend on the entity type searched (person or business). Each possible matching record is returned with a "Certainty Score." The higher the number the greater the certainty that the entered entity, business, or person matches a sanctioned entity.

Search Details Report

These comprehensive reports will deliver organized data that are known to relate back to the persons and businesses that were searched. This is a list of some of the data types contained in these Reports:

Business
- √ All known Aliases and Alternate Names used by this business
- √ All known entities linked to this business
- √ All known Tax ID Numbers w/Country (RUC, NIT, TAX ID, US FEIN)
- √ All known addresses used by this business
- √ All known websites associated with this business
- √ All Sanctions and Programs associated with this business
- √ All Executive Orders that pertain to this business
- √ Any other data about this business that is known to OFAC

Persons
- √ All known Aliases
- √ All known Passport Numbers w/Country
- √ All known ID Numbers w/Country (Cedula, National ID, etc.)
- √ All known Addresses
- √ All Citizenships and Nationalities claimed
- √ All Dates of Birth claimed
- √ All Places of Birth claimed
- √ All known Position Titles known to be used
- √ All Sanctions and Programs associated with this person
- √ All Executive Orders that pertain to this person
- √ Any other data that is known to OFAC about this person
- √ Any FBI "wanted" information including a copy of the wanted poster
- √ Criminal background history is available at an additional cost

All MAX Security Portal products are browser-based/cloud-based products. All of them are available on a SaaS basis. Subscriptions can be either monthly or annual. Annual subscriptions include a discount over the monthly subscriptions.